

**Summary**

**Results oriented, high energy, compliance professional with a successful record of accomplishment and advancement in Professional Standards, Compliance, Risk, Audit, Training and Sales. Recognized strengths include excellent leadership capabilities, strong collaborator and effective team player committed to achieving results.**

**Decision Maker  
Strong Operational Acumen  
Creative Communicator**

**Strong Interpersonal Skills  
Visible Leader  
Innovative**

**Professional Experience****Ernst & Young, LLC**

Hartford, CT 06103

**Supervising Associate****Risk Management**

2020-Present

- Responsibilities include leadership and oversight of compliance monitoring program including development, implementation and support. Focus on developing monitoring that addresses regulatory risk for compliance and talent areas to encourage a proactive culture of compliance.
  - Partner with senior leaders to create monitoring programs across risk management, from research of regulations, design of testing checklists to cohesive reporting for senior management .

**Marsh & McLennan Companies****Guy Carpenter & Company, LLC**

Hartford, CT 06103

**Senior Vice President****Global Professional Standards Program Manager**

2004-2018

- Senior Executive providing leadership and oversight of the professional standards and compliance review program including development, implementation and support of global risk & compliance strategies, policies and programs. Focus on developing Professional Standards group as a resource to encourage and sustain a proactive culture of compliance.
  - Hands on coaching, training and partnering with Guy Carpenter senior executives globally.
  - Developed “Compliance Policy Survey” to diagnose depth of employee understanding supporting a culture of compliance while enhancing monitoring and training programs.
  - Draft, publish and implement global procedural manuals, policies, and training programs.
  - Inspire continuous improvement and learning to direct compliance monitoring staff and 134 Professional Standards Officers.
  - Liaison to Marsh & McLennan Chief Compliance Officer for all compliance review results and remediation plans.

**Vice President**

2011 – 2013

**Assistant Vice President**

2005 – 2011

## **JULIANNE SCOTT**

**mattjcc@msn.com**

### **US and Canada Professional Standards Program Manager**

2004 – 2005

- *Responsible for Guy Carpenter's compliance with 2005 New York Attorney General Settlement Agreement. Instituted mitigation program, communication strategy, monitoring, investigations, training and reporting. Successful corrective action plan led to early easing of restrictions in 2010 by the New York Attorney General.*
- *Responsible for Connecticut Attorney General Settlement Agreement. Instituted mitigation program, trained broking staff on required procedures. Oversee ongoing communications, monitoring, reporting and training.*
- *Developed Risk and Compliance Quarterly newsletter to raise awareness and create a culture of risk mindfulness and compliance throughout the company.*

### **SBC Global**

1997-2004

*Wethersfield, CT 06109*

#### ***Sales Executive***

- *Marketed and sold Yellow Page print and web advertising to commercial accounts. Position required creativity and adaptability to many industries and target markets, executive selling ability and strong time management skills.*
  - *Developed, retained, and grew account base to produce revenues in excess of 1.5 million annually.*
  - *Increased sales volume and income year over year.*
  - *Identified customer needs to develop high impact advertisements and marketing plans.*
    - *Ranked in top 5% overall, including sales volume, client retention and customer service.*
    - *Consecutive winner of award sales trips 1997 -2004.*
    - *Presidents Club Winner (top overall sales) in 2003.*

### **Imprint Newspapers**

1993-1997

*West Hartford, CT 06107*

#### ***Sales development/special projects***

- *Served in three highly visible positions with this chain of community newspapers. Experience in advertising sales, design, layout and sales management.*
  - *Managed 8 Sales Representatives and handled national advertising accounts.*
  - *Analyzed and developed an annual budget which included individualized plans for eleven publications.*

#### ***Active in our Community***

##### ***Women United***

***Board Member – Provide access to financial education resources and prepare children entering Hartford schools with reading tools. Planning Committee Member for fundraisers - Red, White, Chocolate & Cheese, Power of the Purse***

***JULIANNE SCOTT***

***mattjcc@msn.com***

---

***Education***

***University of Massachusetts***

***Bachelor of Arts, Legal Studies***

***1991***